



PTO/SB/08A (08-03)

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 3

Complete if Known

Application Number	10/810,259
Filing Date	March 26, 2004
First Named Inventor	KLEIN, ENRIQUE J.
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	020460-000760US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AS	1	4,564,014	01-14-1986	Fogarty et al.	
	2	4,733,665	03-29-1988	Palmaz	
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	5	5,087,247	02-11-1992	Horn et al.	
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	29	5,643,279	07-01-1997	Trotta	
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	33	5,725,572	03-10-1998	Lam et al.	
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✓	39	5,807,404	09-15-1998	Richter	
	40	5,824,045	07-07-1998	Alt	
AS	41	5,893,868	09-15-1998	Hanson et al.	
Examiner Signature	/Alvin Stewart/			Date Considered	11/03/2006

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60241222 v1

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete If Known			
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		First Named Inventor	KLEIN, ENRIQUE J.		
		Art Unit	Unassigned		
		Examiner Name	Unassigned		
Sheet	2	of	3	Attorney Docket Number	020460-000760US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
AS ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓	42	5,922,021	07-13-1999	Jang	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁴
		Country Code ³	Number ⁴	Kind Code* (if known)				
AS ↓ ↓ ↓ ↓ ↓ ↓ AS	53	CA	2079944		Apr., 1993			<input type="checkbox"/>
	54	EP	481365		Apr., 1992			<input type="checkbox"/>
	55	EP	540290		May., 1993			<input type="checkbox"/>
	56	EP	662307		Jul., 1995			<input type="checkbox"/>
	57	EP	750890		Jan., 1997			<input type="checkbox"/>
	58	WO	92/06734		Apr., 1992			<input type="checkbox"/>

Examiner Signature	/Alvin Stewart/	Date Considered	11/03/2006
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60241222 v1

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date	March 26, 2004
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		Art Unit	Unassigned
		Examiner Name	Unassigned
(use as many sheets as necessary)		Attorney Docket Number	020460-000760US
Sheet	3	of	3

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
AS	59	Hodgson, "Focal stenting: Does it make sense?" Catheterization and Cardiovascular Diagnosis (1997) 42:137.	
	60	Lowe et al., "New balloon expandable stent for bifurcation lesions" Catheterization and Cardiovascular Diagnosis (1997) 42:235-236.	
	61	Mudra et al., "One balloon approach for optimized Palmaz-Schatz Stent Implantation: The MUSCAT Trial" Catheterization and Cardiovascular Diagnosis (1997) 42:130-136.	
	62	Product Brochure for "Freedom.TM. Coronary Stent" by Global Therapeutics, Inc., 2150 West 6th Avenue, Broomfield, CO, 80020 USA, 3 pages total.	
	63	Product Brochure for "V-Flex.TM. Coronary Stent" by Global Therapeutics, Inc., 2150 West 6th Avenue, Broomfield, CO, 80020 USA, 2 pages total.	
	64	Product Brochure for "Tapered USCI Sprint.TM. Over-the-wire Balloon Dilation Catheter with Banka.TM. Tapered Balloon" by Bard, 1200 Technology Park Drive, Billerica, MA, 01821-7025 USA, 2 pages total.	
	65	Product Brochure for "Jostent.RTM. Plus and Jostent.RTM. Flex" by Jomed International AB, Drottninggatan 94, S-252 21 Helsingborg, Sweden, 4 pages total.	
	66	Product Brochure for "The Cat.TM." by CardioVascular Dynamics, Inc., 13844 Alton Parkway, Suite 140, Irvine, CA 92718 USA, 2 pages total.	
↓	67	Product Brochure for "Paragon Coronary Stent.TM." by Progressive Angioplasty Systems Canada Inc., 5250 Ferrier Street, Suite 406, Montreal, Quebec, H4P 1L4 Canada, 2 pages total.	
AS	68	Product Brochure for "FocusStent.TM." by CardioVascular Dynamics, Inc., 13844 Alton Parkway, Suite 140, Irvine, CA 92718 USA, 2 pages total.	

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